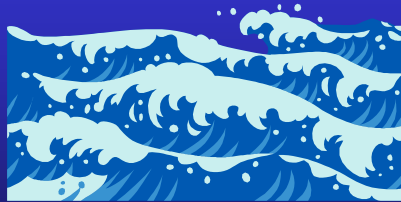


# Lecture 1b

Energy equation and  
integrals of the motion,  
and two classical problems:  
one linear and one nonlinear



## Energy equations



We start with our general governing equations:

$$\frac{D\mathbf{u}'}{Dt'} = -\frac{1}{\rho'} \nabla' p' + \mathbf{F}'; \quad \nabla' \cdot \mathbf{u}' = 0$$

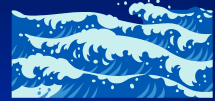
with  $\rho' = \text{constant}$  and a conservative body force:  $\mathbf{F}' = -\nabla' \Omega'$   
(indeed,  $\Omega' = g'z'$  for our water waves).

Using a vector identity leads to

$$\frac{\partial \mathbf{u}'}{\partial t'} + \nabla' \left( \frac{1}{2} \mathbf{u}' \cdot \mathbf{u}' + \frac{p'}{\rho'} + \Omega' \right) = \mathbf{u}' \wedge \boldsymbol{\omega}'.$$

We consider two cases, applicable to general flows:

## Case 1



**Steady (but rotational) flow, then**

$$\frac{1}{2} \mathbf{u}' \cdot \mathbf{u}' + \frac{p'}{\rho'} + \Omega' = \text{constant},$$

being constant on lines parallel to  $\mathbf{u}'$  (**streamlines**) and on lines parallel to  $\boldsymbol{\omega}'$  (**vortex lines**); this is *Bernoulli's equation* (or theorem): **conservation of energy**.

## Case 2

**Irrotational flow (but unsteady), so  $\mathbf{u}' = \nabla' \phi'$  and then**

$$\frac{\partial \phi'}{\partial t'} + \frac{1}{2} \mathbf{u}' \cdot \mathbf{u}' + \frac{p'}{\rho'} + \Omega' = f(t'), \text{ everywhere;}$$

*The pressure equation (or unsteady Bernoulli equation).*

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## Integrated mass conservation (for water waves)



**Start from**  $\frac{\partial w}{\partial z} + \nabla_{\perp} \cdot \mathbf{u}_{\perp} = 0$

**and integrate from  $z = b(\mathbf{x}_{\perp})$  to  $z = h(\mathbf{x}_{\perp}, t)$ , using the kinematic boundary conditions to give**

$$\frac{\partial d}{\partial t} + \nabla_{\perp} \cdot \bar{\mathbf{u}}_{\perp} = 0,$$

**where  $d = h - b$  is the local depth and  $\bar{\mathbf{u}}_{\perp} = \int_b^h \mathbf{u}_{\perp}(\mathbf{x}_{\perp}, z, t) dz$ .**

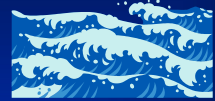
**Informative special case is 1D motion:  $\mathbf{u}_{\perp} = (u(x, z, t), 0)$ , with  $h = 1 + H(x, t)$  and undisturbed at infinity:**

$$\bar{u} \rightarrow 0 \text{ and } H \rightarrow 0 \text{ as } |x| \rightarrow \infty,$$

**then  $\int_{-\infty}^{\infty} H(x, t) dx = \text{constant}$  : mass of fluid associated with the wave is conserved.**

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## Energy integral



From earlier, we start with

$$\frac{\partial \mathbf{u}'}{\partial t'} + \nabla' \left( \frac{1}{2} \mathbf{u}' \cdot \mathbf{u}' + \frac{p'}{\rho'} + \Omega' \right) = \mathbf{u}' \wedge \boldsymbol{\omega}'$$

and take the dot product with  $\mathbf{u}'$ ; introducing  $\rho'$  and rewriting gives:

$$\frac{\partial}{\partial t'} \left( \frac{1}{2} \rho' \mathbf{u}' \cdot \mathbf{u}' + \rho' g' z' \right) + \nabla' \cdot \left\{ \mathbf{u}' \left( \frac{1}{2} \rho' \mathbf{u}' \cdot \mathbf{u}' + p' + \rho' g' z' \right) \right\} = 0.$$

Integration in  $z$  (from bottom to the surface) produces

$$\mathcal{E} + \nabla_{\perp} \cdot \mathcal{F} + \mathcal{P} = 0$$

where  $\mathcal{E} = \int_{b'}^{h'} \left( \frac{1}{2} \rho' \mathbf{u}' \cdot \mathbf{u}' + \rho' g' z' \right) dz$  is the **energy/unit area**,

$\mathcal{F} = \int_{b'}^{h'} \mathbf{u}'_{\perp} \left( \frac{1}{2} \rho' \mathbf{u}' \cdot \mathbf{u}' + p' + \rho' g' z' \right) dz$  the **horizontal energy flux vector**

and  $\mathcal{P} = P'_s \partial h' / \partial t'$  is the **nett energy input from the pressure forces doing work at the free surface**, but can use  $P'_s = \text{constant} = 0$ .

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## Classical linear problem



We start from our general equations:

$$\frac{D \mathbf{u}_{\perp}}{Dt} = -\nabla_{\perp} p; \quad \delta^2 \frac{Dw}{Dt} = -\frac{\partial p}{\partial z}; \quad \nabla \cdot \mathbf{u} = 0$$

with

$$p = \eta \quad \& \quad w = \frac{\partial \eta}{\partial t} + \varepsilon (\mathbf{u}_{\perp} \cdot \nabla_{\perp}) \eta \quad \text{on } z = 1 + \varepsilon \eta$$

and

$$w = (\mathbf{u}_{\perp} \cdot \nabla_{\perp}) b \quad \text{on } z = b$$

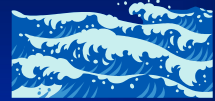
(where  $\frac{D}{Dt} \equiv \frac{\partial}{\partial t} + \varepsilon (\mathbf{u} \cdot \nabla)$ .)

**Consider arbitrary constant depth and arbitrary wave length, for small amplitude, i.e.  $\varepsilon \rightarrow 0$ ,  $\delta$  fixed, and for 1D waves propagating in the  $x$ -direction.**

We measure the depth up from  $z = 0$ , so set  $b = 0$ ; the (physical) depth is subsumed into the scale  $h'_0$ .

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The classical linear 1D system is given by



$$\frac{\partial u}{\partial t} = -\frac{\partial p}{\partial x}; \quad \delta^2 \frac{\partial w}{\partial t} = -\frac{\partial p}{\partial z}; \quad \frac{\partial u}{\partial x} + \frac{\partial w}{\partial z} = 0$$

with  $p = \eta - \delta^2 W_e \frac{\partial^2 \eta}{\partial x^2}$  and  $w = \frac{\partial \eta}{\partial t}$  on  $z = 1$

(surface tension included here)

and  $w = 0$  on  $z = 0$ .

Seek harmonic-wave solution:  $\eta = A e^{i(kx - \omega t)}$  (+c.c.)

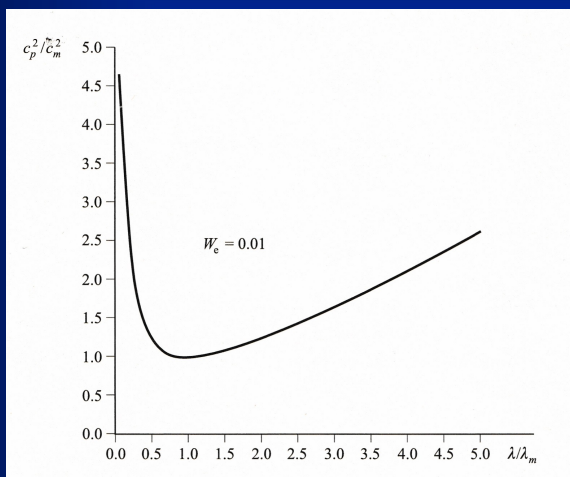
and write  $(u, w, p) = (U(z), W(z), P(z)) e^{i(kx - \omega t)}$  (+c.c.)

to give  $\frac{d^2 W}{dz^2} - \delta^2 k^2 W = 0$  and so  $W = B e^{\delta k z} + C e^{-\delta k z}$  ;

then  $W = -i\omega A \left( \frac{\sinh(\delta k z)}{\sinh(\delta k)} \right)$  and  $\left( \frac{\omega}{k} \right)^2 = c_p^2 = \left( 1 + \delta^2 k^2 W_e \right) \frac{\tanh(\delta k)}{\delta k}$ .

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Dispersion relation (with surface tension):



where  $\lambda = \delta k$  and  $m$  denotes the value at the minimum point. The branch near the origin corresponds to surface-tension waves (ripples); the other branch describes gravity waves.

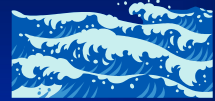
For gravity waves (no surface tension):  $c_p^2 = \frac{\tanh(\delta k)}{\delta k}$ .

Short waves ( $\delta k \rightarrow \infty$ ):  $c_p \sim \pm \frac{1}{\sqrt{\delta k}}$  ( $c'_p \sim \pm \sqrt{g' \lambda'}$ ).

Long waves ( $\delta k \rightarrow 0$ ):  $c_p \sim \pm 1$  ( $c'_p \sim \pm \sqrt{g' h'_0}$ ).

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## Nonlinear, long waves



This problem is defined by  $\delta \rightarrow 0$ , for  $\varepsilon$  fixed;

this produces the (classical) **long-wave problem**; we consider 1D propagation.

**Thus we have the system:**

$$u_t + \varepsilon (u u_x + w u_z) = -p_x; \quad p_z = 0; \quad u_x + w_z = 0,$$

**with**  $p = \eta$  &  $w = \eta_t + \varepsilon u \eta_x$  on  $z = 1 + \varepsilon \eta$

**and**  $w = 0$  on  $z = 0$ .

We have written  $\mathbf{u}_\perp = (u, 0)$  with  $\eta = \eta(x, t)$  and  $b \equiv 0$ .

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Thus we have

$$p = \eta(x, t) \quad (\text{for } 0 \leq z \leq 1 + \varepsilon \eta)$$

and we seek the solution for which  $\mathbf{u} = u(x, t)$ ;

so the equation of mass conservation, with the boundary conditions included, requires

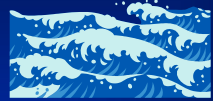
$$w = \left( \frac{\eta_t + \varepsilon u \eta_x}{1 + \varepsilon \eta} \right)_z.$$

**Write**  $h(x, t) = 1 + \varepsilon \eta(x, t)$  **then we obtain the pair of coupled, nonlinear equations**

$$u_t + u u_x + h_x = 0; \quad h_t + (u h)_x = 0.$$

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These two equations can be solved by the *method of characteristics*; first we write them as



$$\begin{aligned} \left\{ \frac{\partial}{\partial t} + (u+c) \frac{\partial}{\partial x} \right\} (u+2c) &= 0; \\ \left\{ \frac{\partial}{\partial t} + (u-c) \frac{\partial}{\partial x} \right\} (u-2c) &= 0 \quad \left( c(x,t) = \sqrt{gh} \right) \end{aligned}$$

which show that

$$\begin{aligned} u + 2c &= \text{constant on lines } C^+ : \frac{dx}{dt} = u + c; \\ u - 2c &= \text{constant on lines } C^- : \frac{dx}{dt} = u - c. \end{aligned}$$

These constants are the *Riemann invariants*.

*End of  
Lecture 16*

